

# 3D Finite Element Technology and Its use in Craniofacial Injuries

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## ABSTRACT

Quite a bit of interest and evolution has happened in the field of research in the recent times toward analyzing and understanding of trauma and injuries especially that on the human skull and related organs. With the development of faster and latest computers, high fidelity human models have been created to understand the biomechanics of these structures to trauma. In the present article a broad methodology of the fundamentals of model creation and analysis and fracture mechanics has been presented. In particular this paper highlights the methodology of 3D simulation of craniofacial region and an insight to the role of FEA (Finite Element Analysis) in craniofacial trauma. The paper also explains the various steps in computational simulation of the craniofacial skeleton.

**Keywords:** Craniofacial fractures, Finite element analysis, Finite element technology.

**How to cite this article:** Shobha ES, Raghuvver HP, Nagesh S, Rayapati DK, Prashanth NT, Rangan V. 3D Finite Element Technology and Its use in Craniofacial Injuries. *World J Dent* 2014;5(4):223-228.

**Source of support:** Nil

**Conflict of interest:** None

## INTRODUCTION

The human skull is a very complex structure with different skull bones aligned to have a specific structure to protect the sense organs, cranial contents, dental and oral structures, etc. Road traffic accidents, falls, fights, etc. makes the human skull susceptible to injuries which lead to concentration of stresses in particular areas, especially sutures. The prominence of skull is due to skull bones like mandible, zygoma, nasal bones. These are more susceptible to fractures when compared to the rest of facial skeleton. The complexity of the craniofacial skeleton creates a need to study the craniofacial fractures

for better understanding of injury mechanism for planning, prevention and for designing and inventing of protection devices as well as surgery planning in maxillofacial surgery.

Finite element modeling (FEM) is a numerical method of creation of an anatomically realistic generic computational model. The modeling allows reproduction of a particular loading on a structure with varying parameters and the stresses can be calculated. Computational realistic models of the human skull can be created and the structural mechanics can be studied under different impact forces. Finite Element (FE) models offer the possibility to analyze the mechanism of injuries as well as the effect of the impact forces on the mechanism of these injuries.

## HISTORY

Finite element modeling was initially developed as a (Courant 1943) mathematical evaluation procedure and its wide spread use was unseen until the advent of computers. The use of FEM has spread into the field of aerospace (Levy 1953) as well as increasingly in the field of engineering and biomechanics (Huiskes and Chao 1983). It has varied usage in the field of biomechanics as well as in the field of life sciences.<sup>1</sup>

## DISCUSSION

Finite element method (FEM) or more generally finite element analysis (FEA) is the process of numerical solutions to field problems in engineering and physics.<sup>1</sup> Typically the process involves solving differential equations using numerical solution techniques. In general, the process involves discretizing a given system (could be an engineering component, subcomponent or in general any structure, such as human bones, skeletons, skull, etc.) into a finite number of elements. Then one would apply the relevant material properties and boundary conditions including loading, chose the right type of solution based on the loading and the material types. These typically involve static or dynamic, linear or nonlinear analysis. Thus, in general, FEA can be applied to biomechanics applications.

Finite element modeling in summary is the process of creating a representative numerical model of the physical model followed by subjecting the model to

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**Fig. 1:** Model of a skull impacting a rigid surface

appropriate load and boundary conditions and then to validate and interpret the results.<sup>1</sup> Particularly, in the biomechanics domain, this would involve creation of the finite element model from a 3D CT scan in DICOM format of the craniofacial skeleton. This is one of the most time consuming phase and involves the integration of data regarding the model geometry, finite element meshing, element design, incorporating the material properties, applying loads and boundary conditions (Fig. 1).<sup>1</sup> The second phase or solution phase consists of calculating the stresses, strains and energy fields for the chosen problem as well as collecting data available in literature. This followed by the last phase or post processing phase which involves the interpretation of results and validation.

Finite element methodology can be used to know the biomechanical behavior of human skull. Computational mechanics has made it possible to create a finite element model of the human skull and the feasibility to virtually

simulate the impact forces and to evaluate the stress and energy fields on the human skull by mathematical calculations and analysis.

Finite element models helps in understanding the injury mechanism, the biomechanical behavior of human skull and the pathological dysfunction which in turn helps in prevention and protection against injury, helps in devising protection systems for road users like helmets as well as surgical planning of maxillofacial cases which requires a knowledge of force application and bone displacement.<sup>2</sup>

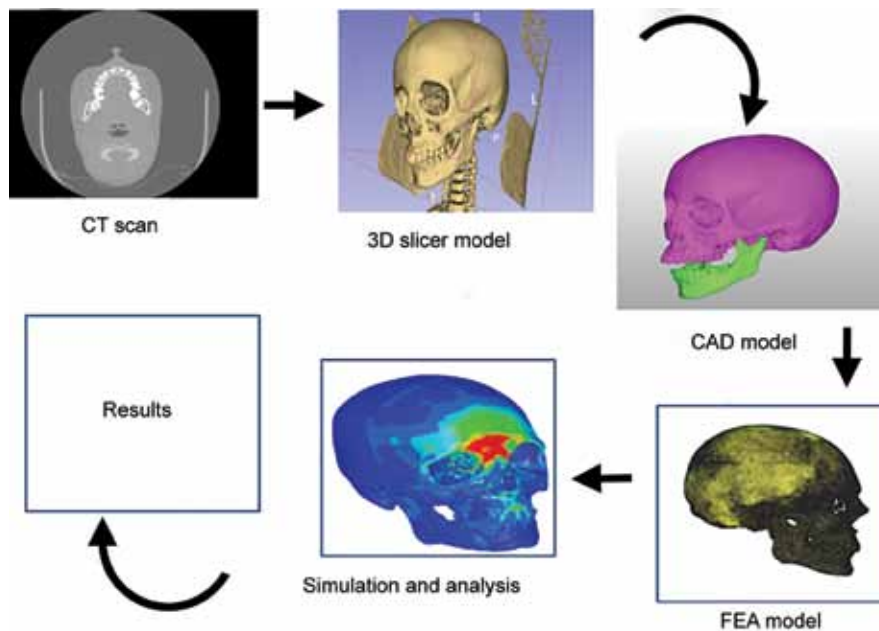
Muscle insertions have been simulated and stress concentrations in the maxillofacial regions has been assessed with or without occlusal load and it was found that the stress progressed through the maxilla following nasal, zygomatic and pterygoid route. Stress seemed to be concentrated in areas of architectural reinforcement.<sup>3</sup>

Forces are applied to the mandible and maxilla through the teeth, muscles of mastication and through the reaction forces at the TM joint region. Knowledge of distribution of internal forces and the deformation occurring as a consequence of these internal forces is required for the success of the treatment planned.<sup>4,5</sup>

Nahum et al<sup>6</sup> has conducted a number of cadaveric studies. He investigated two series of head impact experiments using a single impact experiment and different energy level impacts for a single specimen. FE models can be validated against the cadaveric impacts performed by Nahum.

The procedure for creation of realistic geometric model involves the inclusion of the geometry of the structure into the computer. This process involves the use of automated or manual approach. CT scans are preferred

**Flow Chart 1:** Process flow chart for the study



over laser scans as the automation and high resolution of CT'S make it more attractive.<sup>1</sup> CT scan images are then converted into CAD models which in turn are changed to FE models (Flow Chart 1).

Once model creation is completed meshing or subdividing the model into large number of geometrically simple domains called finite elements is done which helps in making the calculations of stress and strains easier. The mesh quality is improved by the edge contraction and smoothing methods. The mesh quality without any hanging nodes is created using volumetric imaging data.<sup>7</sup>

Once the model meshing is completed, the material properties are incorporated into the model. Studies have been conducted to know the variations of material properties of maxilla and mandible. It was found that the elastic modulus and hardness were higher in the posterior than the anterior region and the variation in the trabecular structure of bone coincides with variation in the mechanical properties.<sup>8</sup>

The elastic properties of the maxilla were more variable than mandible and areas of greatest consistency were the alveolar bone and frontomaxillary area. The buccal alveolar bone was thicker, less dense and stiffer and the palatal vertical bone is more or less similar to alveolar cortical bone. Incorporation of these properties into the FE models improves the accuracy and reliability of the models.<sup>9,10</sup>

Mechanical properties, such as young's modulus, ultimate strength, yield strength, modulus of resilience, modulus of toughness and density are the key factors required for a better understanding and proper evaluation or analysis of subjects (Juvinal 1983; Wang and Dixon 1997 a, b, Wang et al 2000).<sup>11</sup>

Boundary conditions are important and necessary as the boundary constraints anchor the model and enable a unique elasticity to be obtained preventing the entire model to be uniformly displaced in the frame of reference as a rigid body without affecting the elastic response of the structure (Fig. 2). Finite element computation would have been impossible without these boundary constraints.<sup>1</sup> The completed model is then used to obtain the nodal displacement occurring and the resulting stresses and strains are calculated.<sup>1</sup>

Validation of the FE model in both 2D and 3D approach<sup>12</sup> is important to assess the accuracy and precision of the model results. Models can be validated using experimental data from cadavers.<sup>13</sup> Results obtained from the FE models were also compared with the real patient case for validation.<sup>14</sup> Imprecise assumptions of elastic properties as well as inappropriate loads and constraints applied on the FE model may cause an unrealistic deformation of the model,<sup>15</sup> thus limiting the utility of FEA for analysis of the biomechanical

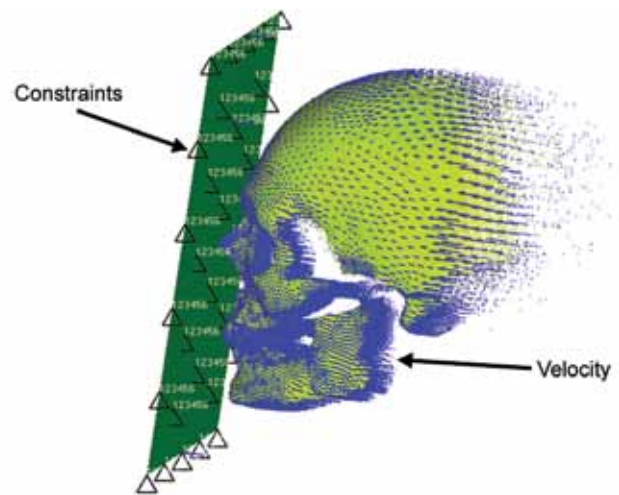


Fig. 2: Loading and boundary conditions

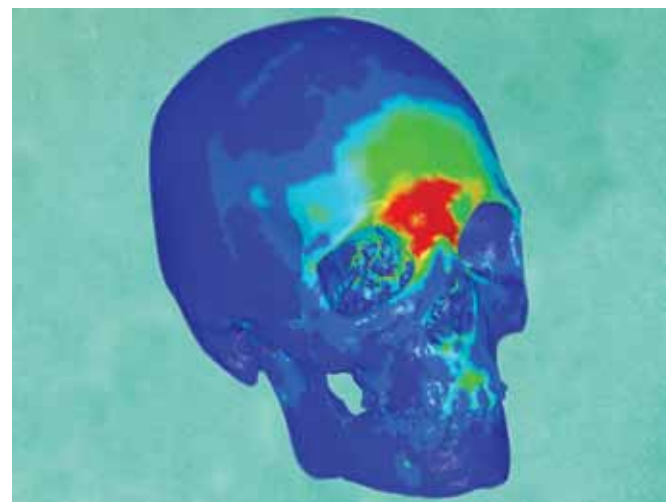


Fig. 3: Von mises stress contour on the skull after impact at  $t = 0.015$  mS

behavior of the FE model and also will affect the validity of the model.

Vollmer et al<sup>16</sup> compared mandibular deformation under mechanical loads to the results derived from finite element analysis and concluded that the procedure for generating an FE model is valid and precise and is also a non-invasive method to predict the complexity of different parameters in assessing the biomechanical behavior of human mandibles. Hence, knowledge and variation of the elastic properties is required for creating a valid and accurate finite element model as well as to evaluate the biomechanics of the skull.<sup>17</sup>

As mentioned earlier the elastic properties varies between different regions in the craniofacial skeleton variation is more in maxilla than mandible. Elastic modulus is higher in the posterior than anterior region. Studies have shown that the thickness of the cortical plates also varies with the age of the subject. Ten to nineteen-year-old subjects had thicker cortical plates with peak thickness seen in 40 to 49 years old which decreases



in thickness after this period.<sup>18</sup> Hence, incorporating the elastic properties as well as the appropriate dimensions of the anatomical region into the model helps in obtaining a realistic, significantly precise and accurate as well as a validated model for the assessment of the biomechanical behavior required for the study (Fig. 3).

Finite element analysis has its varied application in the field of medicine. FEA helps in simulating the human birth process, the need for an elective cesarean section can be predicted when the obstetrician is in a dilemma due to cephalo-pelvic disproportion.<sup>19</sup>

In pediatrics mechanical cranial birth injuries can be assessed by creation of realistic models of fetal head molding occurring due to prolongation of labor wherein the forceful contractions can cause excessive displacement of skull bones which may cause bony lesions, dural membrane injury, intracranial hypertension congestion of the galenic venous system and direct injury to major intracranial vessels.<sup>19</sup>

In the field of maxillofacial surgery FEA can be used to predict soft tissue deformations in maxillofacial surgery planning<sup>20,21</sup> as well as predicting weak areas in the craniofacial skeleton.<sup>22,23</sup> Further FE models can be used to simulate and analyze the biomechanical behavior of bone in two standard trauma situation and to study the maximum stress concentration in the different regions of the maxillofacial skeleton.<sup>24</sup>

Focusing on the treatment planning in maxillofacial trauma patients, FEA can be used to formulate biomechanical justification regarding the position of different plates at the fracture site.<sup>25,26</sup> Using this technology we can now determine the appropriate length of the plate, the number of plates to be used in a particular fracture situation and the number of screws to be used as well.<sup>27</sup> On analyzing the data parameters such as the stiffness of the plates<sup>28</sup> and stability of the fracture fragments, desirable angulations during plate fixation required can also be determined.<sup>29</sup>

Researchers have tried various biomaterials for implants in maxillofacial surgery and using FEM have compared single and double miniplates for fracture stabilization. The stress distribution and the stress shielding effect around the miniplates made of different biomaterials has been studied.<sup>30-36</sup>

3D reconstruction mandibular models have been created with muscle forces; bite forces as well as joint forces have been simulated. The factors determining the loads across a fracture and the magnitude and direction of forces on osteosynthesis and the optimal localization and direction of osteosynthesis has been studied. It was concluded that complex loads act on the fracture and it was the surgeon's choice to decide on the ideal plate, optimal positioning and number of bone plates.<sup>37</sup>

Finite element analysis has been used to study the stability of the osteotomized segments in orthognathic surgery as well. Different fixation methods following Bilateral sagittal split osteotomies (BSSO) have been tried. Miniplates with monocortical screws have been compared to lag screws<sup>38,39</sup> and it was found that in BSSO the triangular lag screw or double miniplates provided better stability than the linear lag screw and single oblique miniplates. Another study has compared four resorbable osteosynthesis screws for stability of BSSO.

Further studies have used FEA in studying the behavior of human head with or without helmet during frontal impact.<sup>40</sup> Gunshot injuries were simulated and analyzed to determine the mechanism of injury and degree of damage to the mandible in humans.<sup>41</sup> Lag screws with or without washer were compared with anchor screws and the load distribution below them have been studied by FEA.<sup>42,43</sup>

Apart from adult trauma FEA has been used in pediatric trauma to simulate the pediatric skull and to analyze mechanisms leading to neurologic injuries<sup>44</sup> further relationship of impacted mandibular 3rd molar and angle fractures has been studied.<sup>45</sup>

## CONCLUSION

Finite element technology has been and is an emerging technology to understand the biomechanics of structural changes that occur on application of force under a constraint. The use of such a technology in the field of medical sciences has proven its efficacy with the glorious advantages it offers to the surgeon with regard to the choice of the hardware to be applied in the fixation of any fracture in the craniofacial region. This technology gives an insight into the advantages of each fixation system over the other and helps chose the most appropriate hardware. Further, by simulating muscle forces and applying loads we are now able to determine the changes that happen to the bony fragments and also the stresses passing through the area of fixation. Although finite element technology is the need-of-the-hour with regard to achieving best results, clinical correlation is indeed required prior to delivery of the chosen treatment plan. FEA continues to help in designing newer preventive devices, focusing on better treatment options and developing better hardware for treating craniofacial injuries.

Figure 3 shows contour of representative von mises stress at a particular instant of impact. The figure shows the area of high stress concentration on the skull as it hits the rigid surface. It can be observed that the stress concentration is maximum at the surface of the skull contacting the rigid surface.

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